### § 119.69

not meet the appropriate airman experience, managerial experience, or supervisory experience requirements of this section if the Manager of the Air Transportation Division, AFS-200, or the Manager of the Aircraft Maintenance Division, AFS-300, as appropriate, finds that the person has comparable experience, and can effectively perform the functions associated with the position in accordance with the requirements of this chapter and the procedures outlined in the certificate holder's manual. Grants of deviation under this paragraph may be granted after consideration of the size and scope of the operation and the qualifications of the intended personnel. The Administrator may, at any time, terminate any grant of deviation authority issued under this paragraph.

[Doc. No. 28154, 60 FR 65913, Dec. 20, 1995, as amended by Amdt. 119-2, 61 FR 30434, June 14, 1996; Amdt. 119-3, 62 FR 13255, Mar. 19, 1997]

# § 119.69 Management personnel required for operations conducted under part 135 of this chapter.

- (a) Each certificate holder must have sufficient qualified management and technical personnel to ensure the safety of its operations. Except for a certificate holder using only one pilot in its operations, the certificate holder must have qualified personnel serving in the following or equivalent positions:
  - (1) Director of Operations.
  - (2) Chief Pilot.
  - (3) Director of Maintenance.
- (b) The Administrator may approve positions or numbers of positions other than those listed in paragraph (a) of this section for a particular operation if the certificate holder shows that it can perform the operation with the highest degree of safety under the direction of fewer or different categories of management personnel due to—
  - (1) The kind of operation involved;
- (2) The number and type of aircraft used; and
- (3) The area of operations.
- (c) The title of the positions required under paragraph (a) of this section or the title and number of equivalent positions approved under paragraph (b) of this section shall be set forth in the

certificate holder's operations specifications.

- (d) The individuals who serve in the positions required or approved under paragraph (a) or (b) of this section and anyone in a position to exercise control over operations conducted under the operating certificate must—
- (1) Be qualified through training, experience, and expertise:
- (2) To the extent of their responsibilities, have a full understanding of the following material with respect to the certificate holder's operation—
- (i) Aviation safety standards and safe operating practices;
- (ii) 14 CFR Chapter I (Federal Aviation Regulations);
- (iii) The certificate holder's operations specifications;
- (iv) All appropriate maintenance and airworthiness requirements of this chapter (e.g., parts 1, 21, 23, 25, 43, 45, 47, 65, 91, and 135 of this chapter); and
- (v) The manual required by §135.21 of this chapter; and
- (3) Discharge their duties to meet applicable legal requirements and to maintain safe operations.
  - (e) Each certificate holder must-
- (1) State in the general policy provisions of the manual required by §135.21 of this chapter, the duties, responsibilities, and authority of personnel required or approved under paragraph (a) or (b), respectively, of this section;
- (2) List in the manual the names and business addresses of the individuals assigned to those positions; and
- (3) Notify the certificate-holding district office within 10 days of any change in personnel or any vacancy in any position listed.

# §119.71 Management personnel: Qualifications for operations conducted under part 135 of this chapter.

- (a) To serve as Director of Operations under §119.69(a) for a certificate holder conducting any operations for which the pilot in command is required to hold an airline transport pilot certificate a person must hold an airline transport pilot certificate and either:
- (1) Have at least 3 years supervisory or managerial experience within the last 6 years in a position that exercised

operational control over any operations conducted under part 121 or part 135 of this chapter; or

- (2) In the case of a person becoming Director of Operations—
- (i) For the first time ever, have at least 3 years experience, within the past 6 years, as pilot in command of an aircraft operated under part 121 or part 135 of this chapter.
- (ii) In the case of a person with previous experience as a Director of Operations, have at least 3 years experience, as pilot in command of an aircraft operated under part 121 or part 135 of this chapter.
- (b) To serve as Director of Operations under §119.69(a) for a certificate holder that only conducts operations for which the pilot in command is required to hold a commercial pilot certificate, a person must hold at least a commercial pilot certificate. If an instrument rating is required for any pilot in command for that certificate holder, the Director of Operations must also hold an instrument rating. In addition, the Director of Operations must either—
- (1) Have at least 3 years supervisory or managerial experience within the last 6 years in a position that exercised operational control over any operations conducted under part 121 or part 135 of this chapter; or
- (2) In the case of a person becoming Director of Operations—
- (i) For the first time ever, have at least 3 years experience, within the past 6 years, as pilot in command of an aircraft operated under part 121 or part 135 of this chapter.
- (ii) In the case of a person with previous experience as a Director of Operations, have at least 3 years experience as pilot in command of an aircraft operated under part 121 or part 135 of this chapter.
- (c) To serve as Chief Pilot under §119.69(a) for a certificate holder conducting any operation for which the pilot in command is required to hold an airline transport pilot certificate a person must hold an airline transport pilot certificate with appropriate ratings and be qualified to serve as pilot in command in at least one aircraft used in the certificate holder's operation and:

- (1) In the case of a person becoming a Chief Pilot for the first time ever, have at least 3 years experience, within the past 6 years, as pilot in command of an aircraft operated under part 121 or part 135 of this chapter.
- (2) In the case of a person with previous experience as a Chief Pilot, have at least 3 years experience as pilot in command of an aircraft operated under part 121 or part 135 of this chapter.
- (d) To serve as Chief Pilot under §119.69(a) for a certificate holder that only conducts operations for which the pilot in command is required to hold a commercial pilot certificate, a person must hold at least a commercial pilot certificate. If an instrument rating is required for any pilot in command for that certificate holder, the Chief Pilot must also hold an instrument rating. The Chief Pilot must be qualified to serve as pilot in command in at least one aircraft used in the certificate holder's operation. In addition, the Chief Pilot must:
- (1) In the case of a person becoming a Chief Pilot for the first time ever, have at least 3 years experience, within the past 6 years, as pilot in command of an aircraft operated under part 121 or part 135 of this chapter.
- (2) In the case of a person with previous experience as a Chief Pilot, have at least 3 years experience as pilot in command of an aircraft operated under part 121 or part 135 of this chapter.
- (e) To serve as Director of Maintenance under §119.69(a) a person must hold a mechanic certificate with airframe and powerplant ratings and either:
- (1) Have 3 years of experience within the past 6 years maintaining aircraft as a certificated mechanic, including, at the time of appointment as Director of Maintenance, experience in maintaining the same category and class of aircraft as the certificate holder uses;
- (2) Have 3 years of experience within the past 6 years repairing aircraft in a certificated airframe repair station, including 1 year in the capacity of approving aircraft for return to service.
- (f) A certificate holder may request a deviation to employ a person who does

### 14 CFR Ch. I (1-1-10 Edition)

#### Pt. 120

not meet the appropriate airmen experience requirements, managerial experience requirements, or supervisory experience requirements of this section if the Manager of the Air Transportation Division, AFS-200, or the Manager of the Aircraft Maintenance Division, AFS-300, as appropriate, find that the person has comparable experience, and can effectively perform the functions associated with the position in accordance with the requirements of this chapter and the procedures outlined in the certificate holder's manual. The Administrator may, at any time, terminate any grant of deviation authority issued under this paragraph.

[Doc. No. 28154, 60 FR 65913, Dec. 20, 1995, as amended by Amdt. 119-3, 62 FR 13255, Mar. 19, 1997; Amdt. 119-12, 72 FR 54816, Sept. 27, 2007]

## PART 120-DRUG AND ALCOHOL **TESTING PROGRAM**

#### Subpart A—General

Sec.

120.1 Applicability.

120.3 Purpose.

120.5 Procedures.

120.7 Definitions.

#### Subpart B—Individuals Certificated Under Parts 61, 63, and 65

120.11 Refusal to submit to a drug or alcohol test by a Part 61 certificate holder.

120.13 Refusal to submit to a drug or alcohol test by a Part 63 certificate holder.

120.15 Refusal to submit to a drug or alcohol test by a Part 65 certificate holder.

## Subpart C—Air Traffic Controllers

120.17 Use of prohibited drugs.

120.19 Misuse of alcohol.

120.21 Testing for alcohol.

### Subpart D-Part 119 Certificate Holders Authorized To Conduct Operations Under Part 121 or Part 135 or Operators Under §91.147 of This Chapter and Safety-Sensitive Employees

120.31 Prohibited drugs.

120.33 Use of prohibited drugs.

Testing for prohibited drugs.

120.37 Misuse of alcohol.

120.39 Testing for alcohol.

#### Subpart E—Drug Testing Program Requirements

120.101 Scope.

120 103 General

120.105 Employees who must be tested. 120.107 Substances for which testing must be conducted.

120.109 Types of drug testing required.

120.111 Administrative and other matters.

120.113 Medical Review Officer, Substance Abuse Professional, and employer responsibilities.

120.115 Employee Assistance Program (EAP).

120.117 Implementing a drug testing program.

120.119 Annual reports.

120.121 Preemption.

120.123 Drug testing outside of the territory of the United States.

120.125 Waivers from 49 CFR 40.21.

## Subpart F—Alcohol Testing Program Requirements

120.201 Scope.

120.203 General.

120 205 Preemption of State and local laws.

120.207 Other requirements imposed by emplovers.

120.209 Requirement for notice.

120.211 Applicable Federal regulations.

120.213 Falsification.

120.215 Covered employees.

120.217 Tests required.

Handling of test results, record re-120.219 tention, and confidentiality.

120.221 Consequences for employees engaging in alcohol-related conduct.

120.223 Alcohol misuse information, training, and substance abuse professionals.

120.225 How to implement an alcohol testing program.

120.227 Employees located outside the U.S.

AUTHORITY: 49 U.S.C. 106(g), 40101-40103, 40113, 40120, 41706, 41721, 44106, 44701, 44702, 44703, 44709, 44710, 44711, 45101–45105, 46105,

SOURCE: Doc. No. FAA-2008-0937, 74 FR 22653, May 14, 2009, unless otherwise noted.

## Subpart A—General

## § 120.1 Applicability.

This part applies to the following persons:

(a) All air carriers and operators certificated under part 119 of this chapter authorized to conduct operations under part 121 or part 135 of this chapter, all air traffic control facilities not operated by the FAA or by or under contract to the U.S. military; and all operators as defined in 14 CFR 91.147.

(b) All individuals who perform, either directly or by contract, a safety-